

08-16-05

RCE  
JW

PTO/SB/30 (04-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                        |                        |
|--|------------------------|------------------------|
| <b>Request For Continued Examination (RCE) Transmittal</b><br><br>Address to:<br>MS RCE<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450<br><br><b>32615</b><br>PATENT TRADEMARK OFFICE | Application Number     | 09/977,526-Conf. #3986 |
|  | Filing Date            | October 12, 2001       |
|  | First Named Inventor   | David S. Allison       |
|  | Art Unit               | 2193                   |
|  | Examiner Name          | L. J. Shrader          |
|  | Attorney Docket Number | 16159/096001; P5942    |

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☐ The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments to Deposit Account No. \_\_\_\_\_. I have enclosed a duplicate copy of this sheet.

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

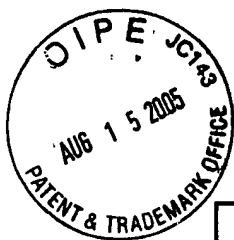
c. ☒ Payment by credit card (Form PTO-2038 enclosed)

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED |                               |                  |                 |
|---|-------------------------------|------------------|-----------------|
| Signature   | <i>Robert P. Lord</i> #48,885 | Date             | August 15, 2005 |
| Name (Print/Type)                                   | Robert P. Lord T. Chau Liang  | Registration No. | 46,479          |

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV714979269US, in an envelope addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: August 15, 2005

Signature: *Debra V. Wieser* (Debra V. Wieser)



Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

|   |  |                          |                        |
|---|--|--------------------------|------------------------|
| <b>Effective on 12/08/2004.</b><br><b>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</b><br><b>FEE TRANSMITTAL</b><br><b>For FY 2005</b> |  | <b>Complete if Known</b> |                        |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27  |  | Application Number       | 09/977,526-Conf. #3986 |
| <b>TOTAL AMOUNT OF PAYMENT</b>  |  | Filing Date              | October 12, 2001       |
| (\$ ) 790.00  |  | First Named Inventor     | David S. Allison       |
|   |  | Examiner Name            | L. J. Shrader          |
|   |  | Art Unit                 | 2193                   |
|   |  | Attorney Docket No.      | 16159/096001; P5942    |

|   |   |
|---|---|
| <b>METHOD OF PAYMENT (check all that apply)</b>   |   |
| <input type="checkbox"/> Check  | <input checked="" type="checkbox"/> Credit Card                                   |
| <input type="checkbox"/> Money Order  | <input type="checkbox"/> None   |
| <input type="checkbox"/> Other (please identify): _____   |   |
| <input checked="" type="checkbox"/> Deposit Account   | Deposit Account Number: 50-0591   |
| Deposit Account Name: Osha · Liang LLP  |   |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)                |   |
| <input type="checkbox"/> Charge fee(s) indicated below  | <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 | <input checked="" type="checkbox"/> Credit any overpayments                       |

|   |             |                       |  |                       |                           |                       |                       |
|---|-------------|-----------------------|--|-----------------------|---------------------------|-----------------------|-----------------------|
| <b>FEE CALCULATION</b>  |             |                       |  |                       |                           |                       |                       |
| <b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>  |             |                       |  |                       |                           |                       |                       |
| Application Type  | FILING FEES |                       | SEARCH FEES                                      |                       | EXAMINATION FEES          |                       | Fees Paid (\$)        |
|   | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)   | Small Entity Fee (\$) | Fee (\$)                  | Small Entity Fee (\$) |                       |
| Utility   | 300         | 150                   | 500  | 250                   | 200                       | 100                   |                       |
| Design  | 200         | 100                   | 100  | 50                    | 130                       | 65                    |                       |
| Plant   | 200         | 100                   | 300  | 150                   | 160                       | 80                    |                       |
| Reissue   | 300         | 150                   | 500  | 250                   | 600                       | 300                   |                       |
| Provisional   | 200         | 100                   | 0  | 0                     | 0                         | 0                     |                       |
| <b>2. EXCESS CLAIM FEES</b>   |             |                       |  |                       |                           |                       |                       |
| Fee Description   |             |                       |  |                       |                           | Fee (\$)              | Small Entity Fee (\$) |
| Each claim over 20 (including Reissues)   |             |                       |  |                       |                           | 50                    | 25                    |
| Each independent claim over 3 (including Reissues)  |             |                       |  |                       |                           | 200                   | 100                   |
| Multiple dependent claims   |             |                       |  |                       |                           | 360                   | 180                   |
| Total Claims  |             | Extra Claims          | Fee (\$)   | Fee Paid (\$)         | Multiple Dependent Claims |                       |                       |
| 27  |             | - 27 =                | x  | =                     | Fee (\$)                  |                       | Fee Paid (\$)         |
| Indep. Claims   |             | Extra Claims          | Fee (\$)   | Fee Paid (\$)         |                           |                       |                       |
| 3   |             | - 3 =                 | x  | =                     |                           |                       |                       |
| <b>3. APPLICATION SIZE FEE</b>  |             |                       |  |                       |                           |                       |                       |
| If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |             |                       |  |                       |                           |                       |                       |
| Total Sheets  |             | Extra Sheets          | Number of each additional 50 or fraction thereof |                       | Fee (\$)                  | Fee Paid (\$)         |                       |
| - 100 =   |             | /50                   | (round up to a whole number) x                   |                       | =                         |                       |                       |
| <b>4. OTHER FEE(S)</b>  |             |                       |  |                       |                           |                       |                       |
| Non-English Specification, \$130 fee (no small entity discount)   |             |                       |  |                       |                           | Fees Paid (\$)        |                       |
| Other (e.g., late filing surcharge): 1801 Request for continued examination (RCE) (see 37 ...   |             |                       |  |                       |                           | 790.00                |                       |

|                     |                               |                                   |                 |
|---------------------|-------------------------------|-----------------------------------|-----------------|
| <b>SUBMITTED BY</b> |                               |                                   |                 |
| Signature           | <i>Robert P. Lord</i>         | Registration No. (Attorney/Agent) | 46,479          |
| Name (Print/Type)   | Robert P. Lord                | Telephone                         | (713) 228-8600  |
|                     | <i>T. Chyan Liang #48,885</i> | Date                              | August 15, 2005 |

|   |   |
|---|---|
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV714979269US, in an envelope addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below. |   |
| Dated: August 15, 2005  | Signature: <i>Debra V. Wieser</i> (Debra V. Wieser) |

32615

PATENT TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV714979269US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: August 15, 2005

Signature:

*Debra V. Wieser*  
(Debra V. Wieser)

Docket No.: 16159/096001; P5942  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
David S. Allison

Confirmation No.: 3986

Application No.: 09/977,526

Art Unit: 2193

Filed: October 12, 2001

Examiner: L. J. Shrader

For: METHOD AND APPARATUS FOR DYNAMIC  
CONFIGURATION OF A LEXICAL  
ANALYSIS PARSER

**SUBMISSION FOR REQUEST FOR CONTINUED EXAMINATION**  
**UNDER 37 C.F.R. § 1.114**

MS RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the final Office Action dated May 19, 2005, please reconsider this application in view of the following.

